

Vivek Bale <vivekbale14@gmail.com>

# Award Letter of Special Call for Short-term Empirical Research 2023-24 (ICSSR-CIS-2023-184)

1 message

ICSSR <specialcall@icssr.org> Reply-To: specialcall@icssr.org To: vivekbale14@gmail.com 29 August 2023 at 17:28

Application ID.: ICSSR-CIS-2023-184

Dear Dr. Vivek Anil Bale,

ICSSR congratulates you on successfully obtaining the award of Research Project under Special Call for Short-term Empirical Research 2023-24 on the basis of recommendations of the Expert Committee.

Title: Assessing the Socio-Economic Impact of Atal Pension Yojana in Pune District

Budget Approved: 500,000/-

Approved Duration of the Project (Months): 6

**1st Instalment: 50%** of the awarded grant, along with detailed Budget in break-up will be sent along with the Sanction Order of **Rs. 250,000/-**

Please note that this proposal is approved provisionally by the ICSSR and upon fulfillment of eligibility criteria and other conditions, the Sanction Order will be issued after receipt of the below mentioned original documents:

- a. Undertaking (on non-judicial stamp paper of Rs.100/-) (Sample copy given on Dashboard)
- b. Declaration (on non-judicial stamp paper of Rs.100/-) (Sample copy given on Dashboard)
- c. Acceptance of Awarded Project with the Date of Commencement.
- d. Original forwarding Letter duly signed/stamped by statutory authority (Sample copy given on Dashboard)
- e. Grant-in-aid bill (Pre-receipt) duly filled in for the first instalment (Sample copy attached)
- f. 12 B status of the affiliating Institute

You are requested to send all the above-mentioned documents to the undersigned on or before **05-09-2023** to enable us to issue the formal Sanction Order

You are once again required to review the eligibility criteria as per the guidelines of the **Special Call for "Short-term Empirical Research 2023-24"** and ensure that you fulfill all requirements as per the guidelines, both as an individual and as an institution for the individual/collaborative studies.

If you have been awarded a project under any other program of ICSSR, and the Sanction Letter for that project has been issued, you are requested to proceed with the earlier sanction and inform us accordingly. In this situation, the current award will not be considered operational.

If you have already been awarded a project, and the sanction letter has not been issued yet, you may choose between the two awards and clearly inform us which project you would like to proceed with.

All the Payments and Transfers are to be done through Public Financial Management System (PFMS) hence the institution has to open a dedicated account as per the notification of ICSSR (https://www.icssr.org/sites/default/files/important\_notice/2019-PFMS-notification.pdf). In case of any issues / problems in execution of PFMS, you may go through the authentic video tutorial available online.

Those Institutions' Bank Account registered under PFMS must ensure that your account is linked with ICSSR Scheme - 0877 at PFMS portal.

You are requested to commence the study within 10 days of the issue of the provisional award letter/mail and inform to ICSSR about the date of commencement of the Project.

The acceptance of this Project may please be conveyed by the scholar in the trailing email.

With Regards,
Dr. Richa Sharma
Deputy Director
Special Call Division
Indian Council of Social Science Research
Aruna Asaf Ali Marg, JNU Institutional Area
New Delhi -110067
Ph. 011-26716694



Dr. Richa Sharma
Deputy Director
SCD Division (In-charge)
Tel # 011-26742140
Email: specialcall@icssr.org

Indian Council of Social Science Research (Ministry of Education) JNU Institutional Area, Aruna Asaf Ali Marg New Delhi – 110067

Website: www.icssr.org

#### **SANCTION ORDER**

F.No. 164/CIS-2023-184/APY/SCD Dated: 27-09-2023

To,
Principal
T.C. College, Baramati, Maharashtra
Maharashtra, 413102

Subject: Sanction of Short-term Empirical Research Project (Collaborative/Individual) entitled "Assessing the Socio-Economic Impact of Atal Pension Yojana in Pune District" to Dr. Vivek Anil Bale, T.C. College, Baramati, Maharashtra

#### Dear Sir/Madam,

- The Indian Council of Social Science Research (ICSSR) has approved the award of "Assessing the Socio-Economic Impact of Atal Pension Yojana in Pune District" the above Research Project submitted by Dr. Vivek Anil Bale of your Institution.
- 2. The study, as proposed by the researcher, is to be located at and financially administered by your institution as per the guidelines of this award.
- 3. The ICSSR has sanctioned a grant-in-aid of **Rs. 500000/-** for the above research project and the grant will be released as follows:

First instalment (50 % of sanctioned grant-in-aid) : Rs. 250000-Second instalment (25 % of sanctioned grant-in-aid) : Rs. 125000/-Final instalment (25 % of sanctioned grant-in-aid) : Rs. 125000/-

Total : Rs. 500000/-

Overhead charges over and above

7.5% or maximum Rs.1,00,000 : Rs. 37500/-

- 4. The **First** installment of the approved grant-in-aid will be released after receiving the grant-in-aid bill duly filled in, stamped and signed by the Project Director as well as the affiliating organization.
- 5. As the study involves empirical research, the finalized schedules/questionnaires (2 copies) designed to elicit information should be sent to the ICSSR as per the following schedule:
  - a) If the schedule /questionnaire for eliciting information is as per standard questionnaire, these will have to be sent to ICSSR immediately,
  - b) If the schedule /questionnaire for eliciting information are to be designed afresh keeping in view the requirements of the project, these will have to be sent to the ICSSR within a period of two months in any case.

- The Second instalment will be released after receiving a satisfactory three months progress report, data summary report, along with a statement of expenditure for the first instalment and Grant-in-Aid Bill for second installment.
- 7. The Third and Final instalment will be released on receipt of (a) Satisfactory book length of the Final Report (Two Hard Copies plus one Soft Copy in Pen-Drive) in the publishable form after incorporating all corrections, suggestions of the expert; (b) soft and 2 hard copies of Executive Summary of Final Report; (c) Statement of accounts with Utilization Certificate in GFR of 12A form for the entire project amount duly signed by the Finance Officer/Registrar/Principal/Director of the affiliating institution (d) A certificate of statement of assets and books purchased out of the project fund issued by the affiliating institution. (e) The Grant-in-Aid Bill for the third installment.
- 8. Research undertaken by a Project Director may be reviewed by the Monitoring and Advisory Committee constituted by ICSSR and the project may be discontinued/ terminated, if research progress is found unsatisfactory or any ICSSR rules/guidelines are violated.
- 9. The Project Coordinator/Project Director would organize a workshop before submission of the final report. The workshop would deliberate on data collection process, compilation, organization and analyses of data on the respective scheme/policy initiative.
- 10.The ICSSR reserves all rights to publish the project funded by it, provided the work is recommended for publication by the ICSSR appointed expert/experts. In case, ICSSR approves the publication of the research work, the scholar should acknowledge that the project has been sponsored by the ICSSR, in all publications resulting from the project output (Research Paper, Books, Articles, Reports, etc.) and should submit a copy of the same to the ICSSR.
- 11. The Contingency Grant may be utilized for research and office assistance, books, stationary, computer cost, research assistance and the field work expenses of Project Coordinator, Co-Project Directors and research personnel connected with the research work.
- 12. The University/ Institution of affiliation will provide to the scholar office accommodation including furniture, library and research facilities and messenger services. For this, the ICSSR shall pay to the University/Institution of affiliation **overhead charges** @7.5% or maximum Rs. 1,00,000/- of the total expenditure incurred on the project only after successful completion of the project.
- 13. The accounts and the Utilization Certificate will be signed by the Finance Officer/Registrar/Principal/Director in the case of accounts of the institution are audited by CAG/AG. Otherwise, they need to be signed by the Finance Officer and the Chartered Account.
- 14. The Project Coordinator/ Project Director of the research project will be **Dr. Vivek Anil Bale**, who will be responsible for the completion of the research project within **5/6 Months** from the date of commencement of the project, which is **15-09-2023** as intimated by the scholar.
- 15.In case, the Project Coordinator/Project Director fails to submit the periodic / final project report as per schedule with adequate justification, the scholar will be debarred from availing all financial assistance from ICSSR in future.
- 16.All grants from ICSSR are subject to the general provision of GFR 2017 and in particular with reference to the provision contained in GFR 209, GFR 210, GFR 211 and GFR 212.
- 17.The Project Coordinator/Project Director will ensure that the expenditure incurred by him conforms to the approved budget heads. The grant-in-aid is subject to all the conditions laid down in the Indian Council of Social Science Research (ICSSR) Research Projects available in the ICSSR website <a href="https://www.icssr.org">www.icssr.org</a>
- 18. The expenditure on this account is debatable to the **Budget Head-ICSSR** (Scheme Code 0877); OH 31.09 Research Projects.

- 19.All instalments will be transferred through Public Finance Management System (PFMS) and ICSSR shall implement the EAT module for ensuring transparency of expenditure at all levels and to ensure that there is no parking of funds.
- 20.As per the instruction from MoE, the amount of grant sanctioned herein is to be utilized by **the end of the project duration.** The unspent amount shall be refunded to the ICSSR immediately on the expiry of the duration of the project. If the grantee fails to utilize the grant for the purpose for which the same has been sanctioned/or fails to submit the audited statement of expenditure within the stipulated period/ or fails to submit the final report within the stipulated time, the grantee will be required to refund the amount of the grant released with a penal interest thereon @ 10% per annum.

Yours faithfully,

(Sd/- Dr. Richa Sharma) For MEMBER-SECRETARY

Encl: as above.

Copy to:

- 1. Dr. Vivek Anil Bale Flat No. B-201, NISARG RESIDENCY, NEAR WATER FILTERATION PROJECTS, NEW PATAS RING ROAD, BARAMATI Maharashtra 413102
- 2. Finance Branch, ICSSR, New Delhi
- 3. Record file

(Sd/- Dr. Richa Sharma) For MEMBER-SECRETARY

#### **PROJECT BUDGET**

### Title: Assessing the Socio-Economic Impact of Atal Pension Yojana in Pune District

By: Dr. Vivek Anil Bale

S. No.	Heads of Expenditure	Value
1	Research Staff:	Not exceeding 40% of the total budget.
	Full time/Part-time/Hired Services	
2	Fieldwork:	Not exceeding 30%
	Travel/Logistics/Boarding, Survey	
	Preparation or Consultancy etc.	
3	Workshop to disseminate the outcomes of	Up to 15% (not exceeding INR 2.00 lakh for collaborative
	the project	research)
4	Equipment and Study material:	Not exceeding 10%
	Computer, Printer, Source Material,	
	Books, Journals, Software, Data Sets etc.	
5	Contingency	Not exceeding 5%
6	Institutional Overheads (over and above	Affiliating Institutional overheads @ 7.5% of the approved
	the total cost of the project)	budget , subject to a maximum limit of Rs 1,00,000/-

## Remuneration and Emoluments of Project Staff

- (a)Project staff could be engaged by the Project Coordinator/Project Director on a full/ part-time basis during the research work and the duration/consolidated monthly emoluments of their employment may be decided by the Project Coordinator/Project Director within the limits of the sanctioned financial allocation and as per the ICSSR rules.
- (b) Research Associate @ Rs.40,000/- p.m.. (Qualification Post graduate in any social science discipline with minimum 55% marks and NET/SLET/M.Phil/Ph.D)
- (c) Research Assistant @ Rs.32,000/-p.m.(Qualification-Ph.D./M.Phil./ Post graduate in social science discipline with minimum 55%)
- (d) Field Investigator @ Rs.30,000/-p.m. (not exceeding 3 months) (Qualification- Post graduate in any social science discipline with minimum 55% marks)
- (e)Retrospective payment for work already done is not permissible.
- **Re-appropriation**: The Institution may re-appropriate expenditure from one head to another up to 10% of the sanctioned budget with the prior approval of the ICSSR.
- Selection of Research Staff should be done through an advertisement and a selection committee consisting of (1) Project Coordinator/ project Director; (2) One outside Expert (other than the Institute where the project is located); (4) Head of the Department/Dean of relevant faculty.
- For all field work related expenses of Project Coordinator/Project Director, Co-Project Director and project personnel, rules pertaining to affiliating institutes shall be followed.
- All equipment and books purchased out of the project fund shall be the property of the affiliating institutions. On completion of the study, the Project Coordinator/ Project Director shall submit an undertaking in this regard. The ICSSR, however, reserves the right to take charge of equipment and books, if it thinks it fit in a case.
- **Purchase of equipment/ assets** for the Research Project is permissible only if it is originally proposed and approved by the ICSSR and does not exceed the permissible amount.
- No publication/presentation in any form related the awarded research shall be made by the researcher or any member of the research team without prior approval of the ICSSR



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Regarding Transaction Details of First Installment of Grant -- Special Call for Short-Term Empirical Research Inbox ×

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Special Call <specialcall@icssr.org>

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Thu, 19 Oct 2023, 10:17

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to me

Dear Awardee,

Please find below the transaction details of the release of the 1<sup>ST</sup> installment of grant against your application no. <u>ICSSR-CIS-2023-184</u>.

Name of Awardee	Dr. Vivek Anil Bale
Account No.	60446590890
Name of Bank	Bank of Maharashtra
UTR No.	RBI2632385929862
Date of Transfer	19-09-2023
Amount	2,50,000.00